

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.: 10/042,371 Confirmation No.: 6761
Applicant: Andrew C. Gilbert (deceased)
Title: CREATING AND TRADING DYNAMIC SECURITIES
Filed: December 27, 2001
Art Unit: 3691
Examiner: Chuks N. Onyezia

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant submits herewith patents, publications or other information of which Applicant is aware that Applicant believes may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as (i) a representation that a thorough search has been made; (ii) an admission that the information cited is, or is considered to be material to patentability; (iii) an admission that no other material information exists; or (iv) an admission that Applicant agrees with any statement(s) in the information cited. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98. The items listed on the accompanying PTO Form-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application.

The Examiner is respectfully requested to fully consider the items listed on the enclosed PTO Form-1449, to independently ascertain their teaching, and to initial beside each reference listed. Please return a copy of the initialed citation form to the Applicant's undersigned Attorney.

Fees

Applicant herein authorizes the Commissioner to charge any fees for filing this Information Disclosure Statement to Deposit Account No. 50-3938 and to credit any overpayment to same.

Respectfully submitted,

December 14, 2007
Date

/Glen R. Farbanish/
Glen R. Farbanish
Attorney for Applicant
PTO Registration No. 50,561
(212) 294-7733/phone
gfarbanish@cantor.com